

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10803344	
	Filing Date		2004-03-17	
	First Named Inventor	Jerome C. Bressi		
	Art Unit	1626		
	Examiner Name	Deborah C. Lambkin		
	Attorney Docket Number	HDAC-5005-U		

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	1	WO 2005/034880	WO		2005-04-21	ATON PHARMA, INC.		<input type="checkbox"/>

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1	Koruznjak et al., "Novel Derivatives of Benzo(b)thieno[2,3-c]quinolones: Synthesis, Photochemical Synthesis, and Antitumor Evaluation," J. Med. Chem., Vol. 46, No. 21, pp. 4516-4524 (2003).	<input type="checkbox"/>
2	Wright et al., "The Preparation of 3-Chlorobenzo[b]thiophene Derivatives from Cinnamic Acids, J. Heterocyclic Chem., Vol. 8, No. 5, pp. 711-14 (1971).	<input type="checkbox"/>

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STATEMENT BY APPLICANT**
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/C. Amy Smith/	Date (YYYY-MM-DD)	2006-09-07
Name/Print	C. Amy Smith	Registration Number	42,931

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